

The Role of Research in Policy and Practice: The Zika Phenomena in Puerto Rico

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On April 19, 2017, the 37th Medical Sciences Campus Annual Research and Education Forum opened with the discussion panel The role of research in policy and practice: The Zika phenomena in Puerto Rico, with Dr. Cruz M. Nazario Delgado, Dr. Carmen D. Zorrilla and Dr. Luis A. Bonilla Soto. In this article, we critically examine the public policy process of the Zika epidemic in Puerto Rico, as presented by authors Nazario and Bonilla. We argue that policymaking and the public health responses to confront the Zika epidemic in Puerto Rico took place in a political environment where different US and local actors operated to advance their goals and vision, undermining the role of knowledge, evidence and past experiences. We propose a bottom to top preventive and community empowerment approach to control the vector. This model must be built on successful policy implementation experiences with epidemics in the Island and strengthened by evidence, international guidelines and ethical principles. [*PR Health Sci J* 2018;37(Special Issue):S33-S40]

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Research-informed policies are necessary for effective health systems. Ethical questions, on the other hand, arise in the politics of the policy process when issues of democratic participation in government are valued. Although there are different policy-making models, and not all require a linear sequence in the steps that tie evidence with implementation, research should be able to make a contribution in at least three phases of the policy-making process: agenda setting, policy formulation, and implementation (1). In our assessment of the Zika phenomena in Puerto Rico during 2015 and 2016, we identify three dynamics that constrained these contributions: 1) policy and practice decisions were not always based on scientific evidence related to the Zika virus or historical information of public health experiences in the Island regarding vector-borne disease management; 2) policy development was a top-bottom process dominated by a conservative rhetoric and lacked the participation of concerned stakeholders; and 3) local and international communication outlets that spread misinformation about Zika, played a central role in establishing different patterns of thought among different groups, favoring strategies of some groups over others.

Overview of the Zika epidemic

Zika is a vector-borne viral disease transmitted in the Americas by mosquitoes of the genus *Aedes*. The virus was discovered in Uganda in 1947. Epidemics appeared in Africa, the Americas, Asia and the Pacific (2). On February 1, 2016, the Director-General of the International Health Regulations of the

World Health Organization (WHO) convened its Emergency Committee on Zika to gather advice on the severity of the spread of the virus in Latin America and the Caribbean. The 2015 clusters of microcephaly babies in Pernambuco, Brazil, born from mothers infected with Zika during pregnancy, was considered an “extraordinary event and a public health threat to other parts of the world”. Although the expert committee members acknowledged that there was no scientific evidence, they strongly suspected a causal relationship between Zika infection during pregnancy and microcephaly. An emergency was declared and aggressive vector control measures and personal protective measures to reduce the risk of exposure were recommended (3, 4).

Two weeks after the WHO’s emergency meeting, the United States Centers for Disease Control and Prevention (CDC) published a Special Report in *The New England Journal of Medicine* (5). The authors quoted various studies

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concerning the findings from a Brazil case report and others about fetuses with microcephaly in pregnant women infected with Zika. They concluded that the virus can cause the condition and other severe brain anomalies. On August 15, 2016, President Obama requested 1.9 billion dollars for Zika research and vaccine development, but only 1.1 billion were approved by the United States Congress after a long period of partisan disputes. During the debates, the Republicans voiced their desire to redirect existing funds and keep them away from Planned Parenthood clinics in Puerto Rico. Democrats wanted a bill without such restrictions in the case of Puerto Rico (6).

By December 31, 2015, the CDC reported autochthonous Zika infections in Puerto Rico by announcing the first case of Zika registered by the Puerto Rico Department of Health (PRDH). Almost one year later (December 8, 2016) the United States Department of Health and Human Services declared a public health emergency in the island, warning women about the risks to their babies of a Zika virus infection during the pregnancy period. The first case of Zika associated with microcephaly was registered in October 2016. During the peak of the epidemic, 38 cases of congenital defects were identified and 69 cases of Guillain-Barré syndrome (7).

The first actions regarding Zika in Puerto Rico were taken in an effort to contain the spread into the continental US. Three months after the announcement of the first case of Zika, the CDC intervened to coordinate aerial spraying of Naled, an insecticide used to quickly eliminate the adults of the *Aedes aegypti* mosquito in a large area (8). The Director of the CDC, Tom Frieden, met directly with the governor of Puerto Rico, Alejandro García Padilla, to discuss the strategy. The Puerto Rican government had already established its local consulting group, the National Alliance to Fight Zika, under the leadership of the State Agency for the Management and Administration of Disasters (AEMEAD, for its Spanish acronym). The head of the group was the chief of both the AEMEAD and the Fire Department. In due course, the governor requested the US government to declare a Zika epidemic in Puerto Rico, an anticipated step in order for the US Congress to release funds to stop the epidemic.

The Government proposal for the aerial spraying with Naled was met with protests and an educational campaign organized by representatives from the scientific, academic, professional, agriculture, cultural, religious and other sectors who came together as the “Frente Unido Contra la Fumigación Aérea” (United Front Against Aerial Spraying). Based on solid research, this coalition opposed the use of Naled and proposed other public health strategies recommended by the WHO and used in the past in Puerto Rico. Spraying was halted and the CDC and the Puerto Rican government redirected the strategy towards pregnant women. In May 2017, when only 10 new cases of Zika were reported in the island, the PRDH declared an automatic end of the epidemic. A total of 40,562 cases of Zika were registered during the whole period (9).

Methods

The overall theoretical framework of this article is political economy which, applied specifically to the health sciences, explicitly addresses economic and political determinants of health and the distribution of disease within and across societies, including structural barriers to people living healthy lives. It focusses on the institutions that generate the decisions that create, enforce and perpetuate privilege and inequality and are therefore identified as the sources of social inequalities in health. As suggested by Mosco (10), the dimensions explored from this perspective are history, the social totality, moral philosophy, and praxis. Key themes in this model are social justice, democracy, and the redistribution of power, resources and decision-making.

We also use the evidence-based policymaking process discussed by Bogenschneider and Corbett (11) in which researchers are knowledge producers and policymakers are knowledge consumers in an intimate collaborative effort. This type of symbiotic-mutualistic relationship will bridge the gap that actually exists between both groups in a positive situation that could bring great benefits for the people of Puerto Rico.

Discussion

Lack of scientific evidence affects policy and practice decisions

The WHO Emergency Committee on Zika recommended in its first meeting to enhance surveillance instruments for microcephaly and Guillain-Barré syndrome and to conduct additional research to determine if there was a causative link to Zika virus and/or other factors or co-factors. They also recommended “aggressive measures to reduce infection with Zika virus, particularly among pregnant women and women of childbearing age” (4). This forceful statement was based on the Brazil cases, as presented in a research paper that was eventually questioned by the country’s Ministry of Health. Because the clustering patterns of Zika infection did not correlate with the patterns of microcephaly occurrence, there was suspicion that other factors could also be involved (12). Matto Grosso, for example, had a high incidence of Zika infection (558.1 per 100,000 population) but a low frequency of microcephaly (11.7 per 10,000). In contrast, Pernambuco had a low incidence of Zika infection (5.3 per 100,000 population) but a high frequency of microcephaly (5.3 per 10,000) (13).

It is important to take preventive measures at an early stage of an epidemic before it reaches a peak in the number of cases, but these should not bring unnecessary harm to the groups at risk. In its first meeting, the strategies recommended by the expert WHO panel would eventually have negative consequences when the media unleashed a fear campaign (see below) based, at that moment, on a disputed causal link. Alarming messages and programs were directed mainly to poor women whom were encouraged to make changes in their sexual and reproductive

ESTADO LIBRE ASOCIADO DE PUERTO RICO
Departamento de Salud

RELEVO DE RESPONSABILIDAD Y PERMISO DE ENTRADA

DE LA PRIMERA PARTE, _____ (NOMBRE DE LA EMBARAZADA PARTICIPANTE DEL PROGRAMA WIC (WIC ID _____), DEL MUNICIPIO DE _____ Y CLINICA _____ (NOMBRE/OFICINA LOCAL); DE AHORA EN ADELANTE DENOMINADA COMO "LA PARTICIPANTE" Y DE SEGUNDA PARTE _____ (PERSONAL WIC AUTORIZADO EN REPRESENTACION DEL E.L.A.) DE AHORA EN ADELANTE DENOMINADO "REPRESENTANTE", ESTABLECEN LAS SIGUIENTES CLÁUSULAS Y CONDICIONES:

1. La participante acepta y entiende que desea que su residencia sea visitada gratuitamente para ser inspeccionada y poder ser informada sobre la prevención y la existencia de algún criadero visible de mosquitos que pudieran representar peligro de Zika.
2. La participante entiende que la aceptación de este relevo es una condición para poder ser visitada e inspeccionada su residencia, dicha inspección de patio y alrededores se circunscribe a educar e intentar identificar posibles criaderos de mosquitos. Ningún representante podrá adentrarse al interior de la residencia sin estar presente la participante y/o su tutor.
3. La participante consiente para una intervención ambiental que incluye aspersión (también conocida como fumigación) y remoción de escombros que son posibles criaderos de mosquitos, si aplica.
4. La participante acepta que el representante notifique a las agencias pertinentes su dirección residencial. Dicha información será utilizada para adelantar la inspección, aspersión gratuita y para fines estadísticos de los organismos de salud pública participantes en la campaña contra el Zika. La participante será previamente informada del día y hora a ser visitada en su residencia.
5. La participante acepta, además, que los alrededores, patio y parte exterior de la estructura de su residencia pudieran ser documentadas o fotografiadas para fines de prevención, educación y salud pública.
6. La participante acepta que ni el E.L.A. ni sus representantes serán responsables de su condición de salud ni de la condición de salud del feto durante el embarazo ni durante o posterior al parto, sea antes o después de firmada este relevo.
7. La participante reconoce que no podrá ceder a ningún tercero las obligaciones asumidas en el relevo.
8. Participantes que tengan 21 años o más, o tengan menos de 21 años y estén casadas o sean el titular o arrendatario de la vivienda, declaran haber leído totalmente este documento y aceptan haberlo entendido en su totalidad. Por aquellas menores de 21 años, solteras y no arrendatarias o dueña de la casa, se requiere obtener el consentimiento del arrendatario o dueño de la vivienda, al momento de ofrecerse el servicio, para poder beneficiarse de la inspección, aspersión y asesoramiento contra el Zika en su residencia.
9. Este relevo y su validez, interpretación y efecto se regirá bajo las leyes del Estado Libre Asociado de Puerto Rico.

Favor marcar con una x:

ACEPTO: _____ NO ACEPTO: _____ Hoy, _____ de _____ 2016, e _____, Puerto Rico.

NOMBRE PARTICIPANTE) _____ FIRMA PARTICIPANTE

NOMBRE DE REPRESENTANTE WIC _____ FIRMA DE REPRESENTANTE WIC

Para participantes menores de 21 años, que sean solteras y no arrendatarias o dueñas de la vivienda:
Participante interesada en recibir visita y servicio de aspersión y visita: SI: _____ NO: _____
FIRMA DEL ARRENDATARIO O DUEÑO DE LA VIVIENDA (SE OBTIENE CUANDO SE VISITA PARA OFRECER EL SERVICIO DE INSPECCION Y ASPERSION.)
"Yo, _____ arrendatario y/o dueño de la vivienda del participante declaro haber leído y entendido este relevo en todas y cada una de sus partes y conformidad con el mismo".
Favor marcar con una x: ACEPTO: _____ NO ACEPTO: _____

NOMBRE DEL ARRENDATARIO O DUEÑO DE LA VIVIENDA _____ FIRMA DEL ARRENDATARIO O DUEÑO DE LA VIVIENDA

Apartado 25220
San Juan, PR 00928-5220
Tel. 766-2805
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Figure 1. Government waiver of liability to spray the insecticide deltamethrin.

lives. In Brazil, the rate of abortions increased while the Ministry of Health was still looking at other potential causes of the microcephaly cases (12).

In Puerto Rico, after the government was compelled by the public opinion to abandon their plans for aerial spraying with Naled, it refocused the Zika problem on women and pregnancy. This was basically a reformulation of the government's public health policy. Poor women participating in the Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) were visited by Puerto Rican government officials, who coordinated with and contracted

private companies to spray their homes with the insecticide deltamethrin. These women were asked to sign a waiver of liability that established that the government would not be responsible for health effects caused by the insecticide (Figure 1). This violation of basic rights goes hand in hand with the stigmatizing labels of the young, single and sexualized women living in poverty in Puerto Rico and seems to be justified by blaming them for their own misfortunes.

Again, these policies were not based on evidence. The Puerto Rican public was informed that infected pregnant women had 50 times the risk of having a baby with microcephaly. This claim was based on an article published in *The New England Journal of Medicine* in which its CDC authors used various frameworks to assess existing research on Zika and microcephaly, including biological plausible explanations. One of the studies analyzed by Rasmussen and colleagues (5) was conducted in the French Polynesia by Cauchemez and colleagues. Based on mathematical models, it presented important limitations such as a small sample size (the baseline prevalence was two cases (0-8) per 10,000 neonates) and a low (30%) participation rate. The authors stated clearly that "extrapolation of our findings to other settings should be approached with caution" (14). On the other hand, Rasmussen and colleagues (5) alerted that, even though the Zika infection hypothesis met four of the seven Shepard's Criteria for Proof of Teratogenicity, there was still a need to identify factors that modify the risk of an adverse pregnancy outcome such as co-infection with other virus, preexisting immune response to another flavivirus, genetic background of the mother or fetus, and severity of infection. The government and health officials in Puerto Rico used this type of research to establish health policy about the risk of microcephaly and ignored specific recommendations regarding the interpretations of the results. Nazario C. (13) considers that inferences made from a study with such limitations is highly questionable, particularly when many cofounders of the association were not introduced in the study.

When the Zika emergency was declared in Puerto Rico, the government lacked the baseline data from which to calculate excess risk of microcephaly due to Zika infection. After 2005, and following CDC's recommendations, microcephaly was eliminated from the list of conditions of the newborn in the

Table 1. Number of live birth with congenital abnormalities (anencephaly and microcephaly) in Puerto Rico.

Year	Anencephaly*	Microcephaly†	Comments
1994	5	1	Informe Anual de Estadísticas Vitales. 1995 Secretaría Auxiliar de Planificación, Evaluación, Estadísticas y Sistemas de Información. Departamento de Salud de Puerto Rico, Puerto Rico. 1998
1995	3	2	
1996	8	1	Informe Anual de Estadísticas Vitales. Natalidad 1996 Volumen I. Secretaría Auxiliar de Planificación, Evaluación, Estadísticas y Sistemas de Información. Departamento de Salud de Puerto Rico, Puerto Rico. 2000
1997	1	4	Informe Anual de Estadísticas Vitales. Natalidad 1998 Volumen I. Secretaría Auxiliar de Planificación, Evaluación, Estadísticas y Sistemas de Información. Departamento de Salud de Puerto Rico, Puerto Rico. 2000
1998	1	5	
1999	4	2	Informe Anual de Estadísticas Vitales. 1999 Secretaría Auxiliar de Planificación, Evaluación, Estadísticas y Sistemas de Información. Departamento de Salud de Puerto Rico, Puerto Rico. 2002
2000	3	1	Informe Anual de Estadísticas Vitales. 2000 Secretaría Auxiliar de Planificación, Evaluación, Estadísticas y Sistemas de Información. Departamento de Salud de Puerto Rico, Puerto Rico. nr
2001	3	0	Informe Anual de Estadísticas Vitales. 2001 Secretaría Auxiliar de Planificación y Desarrollo. Departamento de Salud de Puerto Rico, Puerto Rico. 2003
2002	5	1	Informe Anual de Estadísticas Vitales. 2002 Secretaría Auxiliar de Planificación y Desarrollo. Departamento de Salud de Puerto Rico, Puerto Rico. 2004
2003	4	1	Informe Anual de Estadísticas Vitales. 2003 Secretaría Auxiliar de Planificación y Desarrollo. Departamento de Salud de Puerto Rico, Puerto Rico. 2004
2004	0	2	Informe Anual de Estadísticas Vitales. 2004 Secretaría Auxiliar de Planificación y Desarrollo. Departamento de Salud de Puerto Rico, Puerto Rico. 2006 Puerto Rico reported 93 live anencephalic babies born in 2004-2010 to the Birth Defects Surveillance Programs in the United States data bank. Microcephaly was not reported.
2005	10	nr	Informe Anual de Estadísticas Vitales. 2005 Secretaría Auxiliar de Planificación y Desarrollo. Departamento de Salud de Puerto Rico, Puerto Rico. 2008. On 2005, Puerto Rico implemented the US Standard Certificate of Live Birth, which excluded reporting microcephaly from the list of Congenital Anomalies of the Newborn. Martin JA, Hamilton BE, Osterman MJK. Births in the United States, 2014. NCHS data brief, no 126. Hyattsville, MD: National Center for Health Statistics, 2015. Table C, pp 124-125
2006	11	nr	Informe Anual de Estadísticas Vitales. 2006 Secretaría Auxiliar de Planificación y Desarrollo. Departamento de Salud de Puerto Rico, San Juan, Puerto Rico. 2010
2007	19	nr	Informe Anual de Estadísticas Vitales. 2007 y 2008: Nacimientos, Matrimonios y Divorcios. Secretaría Auxiliar de Planificación y Desarrollo. Departamento de Salud de Puerto Rico, San Juan, Puerto Rico. 2011.
2008	17	nr	
2009	4	nr	Informe Anual de Estadísticas Vitales. Nacimientos, Matrimonios y Divorcios, 2009 y 2010. Secretaría Auxiliar de Planificación y Desarrollo. Departamento de Salud de Puerto Rico. 2012
2010	6	nr	
2011	82	nr	Sistema de Vigilancia y Prevención de Defectos Congénitos de Puerto Rico. Informe Anual 2014. Departamento de Salud de Puerto Rico. 2014.
2012			This report offered the total number of babies born with anencephaly (n=82) for years 2008-2012.
2013	2	20	The PRDH (Dr Julio Cadiz) offered the number of microcephaly cases for the years 2013, 2014 and 2015 at a press conference. 11 julio 2016. El Nuevo Día
2014	?	22	
2015	?	9	
2016	?	9	The PRHD reports that 522 babies have born from Zika positive women and the babies were not microcephalic. El Nuevo Día 20 de octubre 2016

*Anencephaly: birth defect in which a baby is born without parts of the brain and skull. <http://www.cdc.ncbddd/birthdefects/anencephaly.htm>; †Microcephaly: birth defect in which a baby is born with a head circumference <3rd percentile by gestational age and sex. <http://www.cdc.ncbddd/birthdefects/microcephaly.htm>

birth certificate (15). Since then, epidemiologists, clinicians and policy makers have no reliable population-based data on the historical trend and current occurrence of microcephaly in Puerto Rico. One of the authors extrapolated data from various reports to study the trend of microcephaly. In Table 1, the number of babies born with anencephaly and microcephaly was obtained from available sources. The limitations caused by the sparsity of information and the lack of uniformity in the reports need to be solved if we want to be able to estimate the expected number of microcephaly cases. The excess risk of the condition due to Zika infection is still unknown but we do not believe that it is in the thousands of cases, as it was communicated by those

using inflated numbers to “psychologically torture women that dared to become pregnant” (13).

Health policy concerning epidemics and the management of infectious diseases in Puerto Rico could benefit from the interpretation of information and data of past experiences. As policy advisors, the academic sector should use information and the evidence that history offers. With its use of both, quantitative and qualitative data, history has been called “the evidence based discipline par excellence” (16). There are over 30 years of information in the island, since the first case of dengue in 1925, and data on the management of three different infectious diseases transmitted by the *Aedes aegypti* mosquito.

Public health policies relied on community-based and individual preventive strategies to eliminate the mosquitos' habitat. Never before, during several epidemics of dengue and chikungunya, was aerial spraying considered by the PRDH, precisely because of a lack of scientific evidence about its effectiveness. Rigau and Clark (17), to mention one paper about the Puerto Rican experience, concluded that aerial spraying was ineffective and, furthermore, created a false illusion that the government was in charge and that the community had no role to play in prevention. In the past, the CDC has neither recommended the use of aerial spraying until the recent Zika epidemic, which compels us to pose the question, Why now?

The policy-making process in the colonial territory: the top-bottom way

Knowledge development and policy-making to address the problems of the Zika epidemic in Puerto Rico were ideological and political dynamics influenced by the colonial relationship of the island with the United States. The discourse within the local policy scenario, followed the rhetoric of federal agencies and was used to advance the interests of several government officials, politicians and private corporations. The island's economic crisis was related to poverty, poverty with mosquitoes, mosquitoes with Zika and Zika with microcephaly. Environmental justice principles were not considered in public discussions (18) as well as the guiding principles for environmental health policy making (19). Problems were attributed to local residents, including the colonial administrators.

Pesticide spraying technologies were presented by the CDC and the Environmental Protection Agency (EPA) as life savers, but in reality they were a created need, based on wrong premises, to justify their use. Naled was opposed by environmental protection and public health advocates in Puerto Rico, with evidence of its harm to people, flora and fauna. Still, it was almost imposed on the Puerto Rican government by the CDC and the EPA general administrators and was meant to prevail over more effective strategies recommended by international organizations. There is convincing evidence of substantial amounts of unnecessary care in the United States when new technologies are accepted as a result of industry influence rather than proven efficacy (20). Their effectiveness is not necessarily proven, but are sold and put to use during decades. Eventually they are withdrawn from the market when research confirms their uselessness. By then, companies have made significant profits (21). It is our position that some of these dynamics were at play during the Zika virus epidemic in Puerto Rico.

The decision to spray with Naled was made at an early stage of the epidemic by the CDC and informed to the governor of the island. During a visit, the federal government officials downplayed the capacity of the local health department by demeaning its surveillance system and control measures. Positive past experiences with primary care strategies seemed to be erased when the CDC vector control expert, Audrey Lenhart, said that "here in Puerto Rico, we're really starting from square

one" (22). A series of events shows how the US government, in agreement with the government of Puerto Rico, undermined the participation of interested groups and violated organizational and ethical principles. A disturbing situation, that eventually pressed the municipality of San Juan to sue the CDC, the EPA and the Puerto Rican government, occurred during a meeting organized with CDC representatives where they informed that spraying with Naled would begin soon. The meeting had been organized by the governor's interagency committee, the National Alliance to Fight Zika. Some members rejected the plan but were told by the CDC visitors that the agency would carry out the spraying with or without the consent and cooperation of the local authorities (23).

The top-heavy specialist approach in the policy-making scenario and lack of organizational coherence characterized by the tertiary care model have been a focus of critiques of the US health care system. Bodenheimer (20) describes it as a non-system and dispersed model that results when your aim is curative and health care resources are not well matched to the prevalence and incidence of health problems in the community. He calls for a stronger role for community-oriented primary care, the "model that bridges the medical and public health approaches". Some members in the interagency group understood this vision of a healthcare system and eventually succeeded in their efforts to stop aerial spraying. Two days after the lawsuit brought against the CDC, under the Endangered Species Act and the National Environmental Policy Act, "to prevent defendant The Centers for Disease Control and Prevention to implement its plan to carry out spraying within the San Juan territorial jurisdiction and across Puerto Rico..." (23), governor García Padilla withdrew his endorsement to the CDC's aerial spraying plan.

Local politics were also in disarray during this epidemic, with frictions among parties with different interests. Poor policy decisions resulted when the governor placed the management of the Zika epidemic under the jurisdiction of the Director of both, the AEMEAD and the Firefighters Department. With this, the PRDH lost a function that is granted by the Organic Law of Puerto Rico's Department of Health to oversee the implementation of the public health agenda concerning an epidemic caused by vector-borne diseases (24). The AEMEAD was now responsible of developing the State Comprehensive Plan for the Prevention, Protection and Control of Vector-borne Diseases and Other Purposes (25), yet the plan was never made public, and it seemed plausible that it would never be. Another awkward decision came through Executive Order OE-2016-037 (26), that created the Vector Control Unit within the Puerto Rico Science, Technology and Research Trust. As a non-profit organization that serves as fiscal and administrative agent, the unit eventually received a total of \$65 million dollars from the CDC, without much red tape from the government.

Again, the PRDH's was stripped of its powers related to the surveillance and control of vectors through an action that raises serious ethical considerations regarding the roles of the persons

involved in this action including the grant money assigned outside the local Department of Health (27).

An epidemic based on information or propaganda?

The declaration of the Zika epidemic by the WHO created confusion since it recognized a lack of evidence for causality with microcephaly but targeted women with drastic public health measures. A possible association was mentioned, yet the news media was already talking about a causal link. Images of babies with deformed heads were in every communication media. The WHO and CDC, on the other hand, did not discard a causal relationship, so while they encouraged on-going research, the messages they conveyed were of fear. “The Zika epidemic is worse than the Ebola epidemic”; “Zika virus could be a bigger global health threat than Ebola”; “It is a silent infection associated with the horrible outcomes for their babies”; were some of messages communicated by experts that sat at the WHO’s emergency meeting (28). There seemed to be a mindset for causality with microcephaly and the information or research that pointed to the contrary was ignored or downplayed.

An assertion of an epidemic is bound to confusion due to misrepresentation in the communication media of the assessment done by the professionals that make the diagnosis. The message should be given directly by the expert person (or persons) that originates it, which in this case are usually epidemiologists and virologists. These should inform their superiors and, after this last hierarchical level, be able to provide the information and recommendations directly to the political leaders (17).

Technical experts at the federal and local agencies are generally committed to the standards of their professions, but these standards were not always followed by directors and people in higher positions during the Zika crisis. CDC and EPA officials didn’t follow their own protocols described in the “Crisis and Emergency Risk Communication Manual” including the EPA’s Seven Rules of Risk Communication (29, 30). CDC Director, Tom Frieden and EPA Administrator, Gina McCarthy, recommended the aerial spraying of the neurotoxic insecticide Naled in Puerto Rico to address the Zika epidemic. During the process, they were untruthful about this chemical’s potential effects on the health of the population. That action had a serious and adverse impact on the public trust of two of the most important federal agencies for local and national preparedness and response to emergencies (27). In 2012, the European Union banned the use of Naled citing “potential and unacceptable risk” to human health and the environment but this chemical is still in use in the United States (31). The federal and territorial officials’ lies, regarding Puerto Rico’s Zika response, is unfortunate given that government credibility is perhaps the most important consideration to engage citizens in a quick and effective response to any emergency (27). If the population has trust in the government agencies, things can develop in the expected way. However, if people’s trust is absent, things can go seriously wrong. That’s exactly what happened in Puerto Rico.

The local media then portrayed official information that was highly inaccurate and misleading. Following the announcement of the epidemic by the governor of Puerto Rico and the request by the CDC for federal funding, Zika was given special coverage in the international and local media, with events described on a daily basis. A “disinformation epidemic” (13) was underway in anticipation of what had already been decided by the CDC for Puerto Rico: mosquitoes (and people) would be sprayed with Naled. A propaganda campaign was unleashed in Washington DC by portraying the island as the next hot spot for Zika that would likely result in 700,000 Zika reported cases (32). The local press quickly announced the constitution of the interagency group, the visit of the experts from the mainland, President’s Obama feelings about how Puerto Ricans were dealing with the problem, and even the possibility of having the National Guard helping out in the fight against the mosquito. Thousands of residents — including up to 50 pregnant women — were alleged to be infected each day. Hundreds of infants could be born with microcephaly according to government officials (33). As mentioned above, only 40,562 cases were registered during the epidemic in Puerto Rico, well below the 700,000 of expected cases announced in the press.

The fear campaign took a turn when the plan to spray the island was halted. As mentioned, young or pregnant women became the center of attention in response to a need to reformulate the Zika public policy. As in Brazil, the campaign that was developed criminalized sexual relations and pregnancy, making women feel guilty if or when they didn’t consider changing their practices to avoid risks of exposing the fetus to this threatening disease. Photographs of pregnant women appeared daily in the press while the PRDH recommended that they postpone having children because they would put their child at risk. These women didn’t even have Zika and they were becoming fearful of abnormalities in their unborn children. Pregnancy was practically criminalized among poor women who were the target of the government’s educational campaigns. Early during the campaign doctors perceived a dramatic increase in abortions but research on this topic is pending.

Conclusions

During the 37th Medical Sciences Campus Annual Research and Education Forum, authors Bonilla L. and Nazario C. triggered an important debate about a critical problem related to research and its use in the management of the Zika epidemic in Puerto Rico. Research is central in the policy-making process and the academic sector has an important role to play so that politicians and government agencies are well informed. It is also important to make concerned parties understand that campaigns of fear are not effective in changing individual health behaviors and advocate for strategies to manage infectious diseases through on-going primary care prevention activities that emphasize education and empowerment of the affected communities. There are lessons to be learned from the emergence of this new

disease: 1) be watchful of the messages used during a health emergency and verify the credibility of the information put forward by government officials; 2) be attentive of possible violations of human and civil rights during health emergencies and act against unethical behavior that damages the health and wellbeing of people and the environment; 3) the government should develop and enforce a protocol for the management and control of vectors, based on the WHO guidelines and designate the Puerto Rico Department of Health to develop a comprehensive plan for the integrated management of vector-borne diseases. For this last recommendation, a first rational step is to take a critical look to our own past experiences to prevent repeating the same mistakes.

Resumen

El 19 de abril de 2017, el 37mo Foro Anual de Investigación y Educación del Recinto de Ciencias Médicas abrió con el panel de discusión *The Role of Research in Policy and Practice: The Zika Phenomena in Puerto Rico*, con la participación de la Dra. Cruz M. Nazario Delgado, la Dra. Carmen D. Zorrilla y el Dr. Luis A. Bonilla Soto. En este artículo hacemos un análisis crítico del proceso de política pública de la epidemia de Zika en Puerto Rico, según presentado por los autores Nazario y Bonilla durante el panel. Argumentamos que este proceso y las respuestas para confrontar la epidemia en la isla se llevaron a cabo en un ambiente político en el cual actores locales y de Estados Unidos buscaron adelantar sus intereses, debilitando el rol del conocimiento, la evidencia e ignorando las experiencias del pasado. Proponemos un acercamiento de apoderamiento comunitario para controlar el vector del Zika. Este modelo debe forjarse tomando en cuenta experiencias exitosas del pasado en la isla y fortalecerse con la evidencia, guías de organismos internacionales y principios éticos.

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